# Systems theory

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Jump to: navigation, search

**Systems theory** is the interdisciplinary study of systems in general, with the goal of elucidating principles that can be applied to all types of systems at all nesting levels in all fields of research. *citation needed* The term does not yet have a well-established, precise meaning, but systems theory can reasonably be considered a specialization of systems thinking, a generalization of systems science, a systems approach. The term originates from Bertalanffy's general system theory (GST) and is used in later efforts in other fields, such as the action theory of Talcott Parsons[1] and the social systems theory of Niklas Luhmann.[2]

In this context the word *systems* is used to refer specifically to self-regulating systems, i.e. systems self-correcting through feedback. Self-regulating systems are found in nature, including the physiological systems of our body, in local and global ecosystems, and in climate—and in human learning processes. [3]

### Contents [hide]

- 1 Overview
- 2 Examples of applications
  - 2.1 Systems biology
  - 2.2 Systems engineering
  - 2.3 Systems psychology
- 3 History
- 4 Developments
  - 4.1 General systems research and systems inquiry
  - 4.2 Cybernetics
  - 4.3 Complex adaptive systems
- 5 See also
- 6 References
- 7 Further reading
- 8 External links
  - 8.1 Organizations

# Overview[edit]

Margaret Mead was an influential figure in systems theory.

Contemporary ideas from systems theory have grown with diverse areas, exemplified by the work of biologist Ludwig von Bertalanffy, linguist Béla H. Bánáthy, ecological systems with Howard T. Odum, Eugene Odum and Fritjof Capra, organizational theory and management with individuals such as Peter Senge, interdisciplinary study with areas like Human Resource Development from the work of Richard A. Swanson, and insights from educators such as Debora Hammond and Alfonso Montuori. As a transdisciplinary. interdisciplinary and multiperspectival domain, the area brings together principles and concepts from ontology, philosophy of science, physics, computer science, biology, and engineering as well as geography, sociology, political science, psychotherapy (within family systems therapy) and economics among others. Systems theory thus serves as a bridge for interdisciplinary dialogue between autonomous areas of study as well as within the area of systems science itself.

In this respect, with the possibility of misinterpretations, von Bertalanffy[4] believed a general theory of systems "should be an important regulative device in science," to guard against superficial analogies that "are useless in science and harmful in their practical consequences." Others remain closer to the direct systems concepts developed by the original theorists. For example, Ilya Prigogine, of the Center for Complex Quantum Systems at the University of Texas, Austin, has studied emergent properties, suggesting that they offer analogues for living systems. The theories of autopoiesis of Francisco Varela and Humberto Maturana represent further developments in this field. Important names in contemporary systems science include Russell Ackoff, Béla H. Bánáthy, Anthony Stafford Beer, Peter Checkland, Robert L. Flood, Fritjof Capra, Michael C. Jackson, Edgar Morin and Werner Ulrich, among others.

With the modern foundations for a general theory of systems following the World War, Ervin Laszlo, in the preface for Bertalanffy's

book *Perspectives on General System Theory*, maintains that the translation of "general system theory" from German into English has "wrought a certain amount of havoc".[5] The preface explains that the original concept of a general system theory, "Allgemeine Systemtheorie (or Lehre)", pointed out that "Theorie" (or "Lehre"), just as "Wissenschaft" (translated Scholarship), "has a much broader meaning in German than the closest English words 'theory' and 'science"'.[5] These ideas refer to an organized body of knowledge and "any systematically presented set of concepts, whether empirically, axiomatically, or philosophically" represented, while many associate "Lehre" with theory and science in the etymology of general systems, though it also does not translate from the German very well; its "closest equivalent" translates as "teaching", but "sounds dogmatic and off the mark".[5] The idea of a "general systems theory" might have lost many of its root meanings in the translation and many people[who?] started to believe that the systems theorists had articulated nothing but a pseudoscience, systems theory transfer into the name used by early investigators for the interdependence of relationships created in organizations by defining a new way of thinking about science and scientific paradigms.

A system in this frame of reference can contain regularly interacting or interrelating groups of activities. For example, in noting the influence in organizational psychology as the field evolved from "an individually oriented industrial psychology to a systems and developmentally oriented organizational psychology", some theorists recognize that organizations have complex social systems; separating the parts from the whole reduces the overall effectiveness of organizations.[6] This difference, from conventional models that center on individuals, structures, departments and units, separates in part from the whole, instead of recognizing the interdependence between groups of individuals, structures and processes that enable an organization to function. Laszlo[7] explains that the new systems view of organized complexity went "one step beyond the Newtonian" view of organized simplicity" which reduced the parts from the whole, or understood the whole without relation to the parts. The relationship between organisations and their environments can be seen as the foremost source of complexity and interdependence. In most cases, the whole has properties that cannot be known from analysis of the

constituent elements in isolation. Béla H. Bánáthy, who argued — along with the founders of the systems society — that "the benefit of humankind" is the purpose of science, has made significant and farreaching contributions to the area of systems theory. For the Primer Group at ISSS, Bánáthy defines a perspective that iterates this view:

The systems view is a world-view that is based on the discipline of SYSTEM INQUIRY. Central to systems inquiry is the concept of SYSTEM. In the most general sense, system means a configuration of parts connected and joined together by a web of relationships. The Primer group defines system as a family of relationships among the members acting as a whole. Von Bertalanffy defined system as "elements in standing relationship"

#### **—**[8]

Similar ideas are found in learning theories that developed from the same fundamental concepts, emphasising how understanding results from knowing concepts both in part and as a whole. In fact, Bertalanffy's organismic psychology paralleled the learning theory of Jean Piaget.[9] Some consider interdisciplinary perspectives critical in breaking away from industrial age models and thinking, wherein history represents history and math represents math, while the arts and sciences specialization remain separate and many treat teaching as behaviorist conditioning.[10] The contemporary work of Peter Senge[11] provides detailed discussion of the commonplace critique of educational systems grounded in conventional assumptions about learning, including the problems with fragmented knowledge and lack of holistic learning from the "machine-age thinking" that became a "model of school separated from daily life." In this way some systems theorists attempt to provide alternatives to, and evolved ideation from orthodox theories which have grounds in classical assumptions, including individuals such as Max Weber and Émile Durkheim in sociology and Frederick Winslow Taylor in scientific management.[12] The theorists sought holistic methods by developing systems concepts that could integrate with different areas.

Some may view the contradiction of reductionism in conventional theory (which has as its subject a single part) as simply an example of changing assumptions. The emphasis with systems theory shifts from parts to the organization of parts, recognizing interactions of the

parts as not static and constant but dynamic processes. Some questioned the conventional closed systems with the development of open systems perspectives. The shift originated from absolute and universal authoritative principles and knowledge to relative and general conceptual and perceptual knowledge[13] and still remains in the tradition of theorists that sought to provide means to organize human life. In other words, theorists rethought the preceding history of ideas; they did not lose them. Mechanistic thinking was particularly critiqued, especially the industrial-age mechanistic metaphor for the mind from interpretations of Newtonian mechanics by Enlightenment philosophers and later psychologists that laid the foundations of modern organizational theory and management by the late 19th century.[14]

# Examples of applications[edit]

## Systems biology[edit]

Main article: Systems biology

Systems biology is a movement that draws on several trends in bioscience research. Proponents describe systems biology as a biology-based inter-disciplinary study field that focuses on complex interactions in biological systems, claiming that it uses a new perspective (holism instead of reduction). Particularly from year 2000 onwards, the biosciences use the term widely and in a variety of contexts. An often stated ambition of systems biology is the modelling and discovery of emergent properties which represents properties of a system whose theoretical description requires the only possible useful techniques to fall under the remit of systems biology. It is thought that Ludwig von Bertalanffy may have created the term systems biology in 1928.[15]

# Systems engineering[edit]

Main article: Systems engineering
Systems engineering is an interdisciplinary approach and means for

enabling the realisation and deployment of successful systems. It can be viewed as the application of engineering techniques to the engineering of systems, as well as the application of a systems approach to engineering efforts.[16] Systems engineering integrates other disciplines and specialty groups into a team effort, forming a structured development process that proceeds from concept to production to operation and disposal. Systems engineering considers both the business and the technical needs of all customers, with the goal of providing a quality product that meets the user needs.[17]

### Systems psychology[edit]

#### Main article: Systems psychology

Systems psychology is a branch of psychology that studies human behaviour and experience in complex systems. It received inspiration from systems theory and systems thinking, as well as the basics of theoretical work from Roger Barker, Gregory Bateson, Humberto Maturana and others. It makes an approach in psychology in which groups and individuals receive consideration as systems in homeostasis. Systems psychology "includes the domain of engineering psychology, but in addition seems more concerned with societal systems and with the study of motivational, affective, cognitive and group behavior that holds the name engineering psychology."[18] In systems psychology, "characteristics of organizational behaviour, for example individual needs, rewards, expectations, and attributes of the people interacting with the systems, considers this process in order to create an effective system".[19]

# History[edit]

#### **Precursors**

 Saint-Simon (1760–1825), Karl Marx (1817–1883), Friedrich Engels (1820–1895), Herbert Spencer (1820–1903), Rudolf Clausius (1822–1888), Vilfredo Pareto (1848–1923), Émile Durkheim (1858–1917), Alexander Bogdanov (1873–1928), Nicolai Hartmann (1882–1950), Robert Maynard Hutchins (1929–

#### 1951), among others

#### **Founders**

- 1946-1953 Macy conferences
- 1948 Norbert Wiener publishes Cybernetics or Control and Communication in the Animal and the Machine
- 1954 Ludwig von Bertalanffy, Anatol Rapoport, Ralph W. Gerard, Kenneth Boulding establish Society for the Advancement of General Systems Theory, in 1956 renamed to Society for General Systems Research.
- 1955 W. Ross Ashby publishes Introduction to Cybernetics
- 1968 Ludwig von Bertalanffy publishes General System theory: Foundations, Development, Applications

#### Other contributors

- 1970-1980s Second-order cybernetics developed by Heinz von Foerster, Gregory Bateson, Humberto Maturana and others
- 1971-1973 Cybersyn, rudimentary internet and cybernetic system for democratic economic planning developed in Chile under Allende government by Stafford Beer
- 1970s Catastrophe theory (René Thom, E.C. Zeeman) Dynamical systems in mathematics.
- 1977 Ilya Prigogine received the Nobel Prize for his works on selforganization, conciliating important systems theory concepts with system thermodynamics.
- 1980s Chaos theory, David Ruelle, Edward Lorenz, Mitchell Feigenbaum, Steve Smale, James A. Yorke
- 1986 Context theory, Anthony Wilden
- 1988 International Society for Systems Science
- 1990 Complex adaptive systems (CAS), John H. Holland, Murray

Whether considering the first systems of written communication with Sumerian cuneiform to Mayan numerals, or the feats of engineering with the Egyptian pyramids, systems thinking can date back to antiquity. Differentiated from Western rationalist traditions of philosophy, C. West Churchman often identified with the I Ching as a systems approach sharing a frame of reference similar to pre-Socratic philosophy and Heraclitus.[20] Von Bertalanffy traced systems concepts to the philosophy of G.W. Leibniz and Nicholas of Cusa's *coincidentia oppositorum*. While modern systems can seem considerably more complicated, today's systems may embed themselves in history.

Figures like James Joule and Sadi Carnot represent an important step to introduce the *systems approach* into the (rationalist) hard sciences of the 19th century, also known as the energy transformation. Then, the thermodynamics of this century, by Rudolf Clausius, Josiah Gibbs and others, established the *system* reference model as a formal scientific object.

The Society for General Systems Research specifically catalyzed systems theory as an area of study, which developed following the World Wars from the work of Ludwig von Bertalanffy, Anatol Rapoport, Kenneth E. Boulding, William Ross Ashby, Margaret Mead, Gregory Bateson, C. West Churchman and others in the 1950s, had specifically catalyzed by collaboration in. Cognizant of advances in science that questioned classical assumptions in the organizational sciences, Bertalanffy's idea to develop a theory of systems began as early as the interwar period, publishing "An Outline for General Systems Theory" in the *British Journal for the Philosophy of Science*, Vol 1, No. 2, by 1950. Where assumptions in Western science from Greek thought with Plato and Aristotle to Newton's *Principia* have historically influenced all areas from the hard to social sciences (see David Easton's seminal development of the "political system" as an analytical construct), the original theorists explored the implications of twentieth century advances in terms of systems.

People have studied subjects like complexity, self-organization, connectionism and adaptive systems in the 1940s and 1950s. In fields like cybernetics, researchers such as Norbert Wiener, William

Ross Ashby, John von Neumann and Heinz von Foerster, examined complex systems mathematically. John von Neumann discovered cellular automata and self-reproducing systems, again with only pencil and paper. Aleksandr Lyapunov and Jules Henri Poincaré worked on the foundations of chaos theory without any computer at all. At the same time Howard T. Odum, known as a radiation ecologist, recognized that the study of general systems required a language that could depict energetics, thermodynamics and kinetics at any system scale. Odum developed a general system, or universal language, based on the circuit language of electronics, to fulfill this role, known as the Energy Systems Language. Between 1929-1951, Robert Maynard Hutchins at the University of Chicago had undertaken efforts to encourage innovation and interdisciplinary research in the social sciences, aided by the Ford Foundation with the interdisciplinary Division of the Social Sciences established in 1931.[21] Numerous scholars had actively engaged in these ideas before (Tectology by Alexander Bogdanov, published in 1912-1917, is a remarkable example), but in 1937, von Bertalanffy presented the general theory of systems at a conference at the University of Chicago.

The systems view was based on several fundamental ideas. First, all phenomena can be viewed as a web of relationships among elements, or a system. Second, all systems, whether electrical, biological, or social, have common patterns, behaviors, and properties that the observer can analyze and use to develop greater insight into the behavior of complex phenomena and to move closer toward a unity of the sciences. System philosophy, methodology and application are complementary to this science.[5] By 1956, theorists established the Society for General Systems Research, which they renamed the International Society for Systems Science in 1988. The Cold War affected the research project for systems theory in ways that sorely disappointed many of the seminal theorists. Some began to recognize that theories defined in association with systems theory had deviated from the initial General Systems Theory (GST) view.[22] The economist Kenneth Boulding, an early researcher in systems theory, had concerns over the manipulation of systems concepts. Boulding concluded from the effects of the Cold War that abuses of power always prove consequential and that systems theory might

address such issues.[23] Since the end of the Cold War, a renewed interest in systems theory emerged, combined with efforts to strengthen an ethical view on the subject. [citation needed]

# Developments[edit]

### General systems research and systems inquiry[edit]

Many early systems theorists aimed at finding a general systems theory that could explain all systems in all fields of science. The term goes back to Bertalanffy's book titled "*General System theory: Foundations, Development, Applications*" from 1968.[9] According to Von Bertalanffy, he developed the "allgemeine Systemlehre" (general systems teachings) first via lectures beginning in 1937 and then via publications beginning in 1946.[24]

Von Bertalanffy's objective was to bring together under one heading the organismic science that he had observed in his work as a biologist. His desire was to use the word *system* for those principles that are common to systems in general. In GST, he writes:

...there exist models, principles, and laws that apply to generalized systems or their subclasses, irrespective of their particular kind, the nature of their component elements, and the relationships or "forces" between them. It seems legitimate to ask for a theory, not of systems of a more or less special kind, but of universal principles applying to systems in general.

### **—**[25]

Ervin Laszlo[26] in the preface of von Bertalanffy's book *Perspectives* on General System Theory:[27]

Thus when von Bertalanffy spoke of Allgemeine Systemtheorie it was consistent with his view that he was proposing a new perspective, a new way of doing science. It was not directly consistent with an interpretation often put on "general system theory", to wit, that it is a (scientific) "theory of general systems." To criticize it as such is to shoot at straw men. Von Bertalanffy opened up something much broader and of much greater significance than a single theory (which, as we now know, can always be falsified and has usually an ephemeral existence): he created a new paradigm for the

development of theories.

Ludwig von Bertalanffy outlines systems inquiry into three major domains: Philosophy, Science, and Technology. In his work with the Primer Group, Béla H. Bánáthy generalized the domains into four integratable domains of systemic inquiry:

a set of interrelated concepts and principles applying to all

the set of models, strategies, methods, and tools that instrumentalize  $% \left( 1\right) =\left( 1\right) \left( 1\right) \left($ 

These operate in a recursive relationship, he explained. Integrating Philosophy and Theory as Knowledge, and Method and Application as action, Systems Inquiry then is knowledgeable action.[28]

### Cybernetics[edit]

Main article: Cybernetics

Cybernetics is the study of the communication and control of regulatory feedback both in living and lifeless systems (organisms, organizations, machines), and in combinations of those. Its focus is how anything (digital, mechanical or biological) controls is behavior, processes information, reacts to information, and changes or can be changed to better accomplish those three primary tasks.

The terms "systems theory" and "cybernetics" have been widely used as synonyms. Some authors use the term *cybernetic* systems to denote a proper subset of the class of general systems, namely those systems that include feedback loops. However Gordon Pask's differences of eternal interacting actor loops (that produce finite products) makes general systems a proper subset of cybernetics. According to Jackson (2000), von Bertalanffy promoted an embryonic form of general system theory (GST) as early as the 1920s and 1930s but it was not until the early 1950s it became more widely known in scientific circles.

Threads of cybernetics began in the late 1800s that led toward the publishing of seminal works (e.g., Wiener's *Cybernetics* in 1948 and von Bertalanffy's *General Systems Theory* in 1968). Cybernetics arose more from engineering fields and GST from biology. If anything it appears that although the two probably mutually influenced each other, cybernetics had the greater influence. Von Bertalanffy (1969) specifically makes the point of distinguishing between the areas in noting the influence of cybernetics: "Systems theory is frequently identified with cybernetics and control theory. This again is incorrect. Cybernetics as the theory of control mechanisms in technology and nature is founded on the concepts of information and feedback, but

as part of a general theory of systems;" then reiterates: "the model is of wide application but should not be identified with 'systems theory' in general", and that "warning is necessary against its incautious expansion to fields for which its concepts are not made." (17-23). Jackson (2000) also claims von Bertalanffy was informed by Alexander Bogdanov's three volume *Tectology* that was published in Russia between 1912 and 1917, and was translated into German in 1928. He also states it is clear to Gorelik (1975) that the "conceptual part" of general system theory (GST) had first been put in place by Bogdanov. The similar position is held by Mattessich (1978) and Capra (1996). Ludwig von Bertalanffy never even mentioned Bogdanov in his works, which Capra (1996) finds "surprising".

Cybernetics, catastrophe theory, chaos theory and complexity theory have the common goal to explain complex systems that consist of a large number of mutually interacting and interrelated parts in terms of those interactions. Cellular automata (CA), neural networks (NN), artificial intelligence (AI), and artificial life (ALife) are related fields, but they do not try to describe general (universal) complex (singular) systems. The best context to compare the different "C"-Theories about complex systems is historical, which emphasizes different tools and methodologies, from pure mathematics in the beginning to pure computer science now. Since the beginning of chaos theory when Edward Lorenz accidentally discovered a strange attractor with his computer, computers have become an indispensable source of information. One could not imagine the study of complex systems without the use of computers today.

### Complex adaptive systems[edit]

Main article: Complex adaptive system

Complex adaptive systems are special cases of complex systems. They are *complex* in that they are diverse and composed of multiple, interconnected elements; they are *adaptive* in that they have the capacity to change and learn from experience. The term *complex adaptive system* was coined at the interdisciplinary Santa Fe Institute (SFI), by John H. Holland, Murray Gell-Mann and others. An alternative conception of complex adaptive (and learning) systems, methodologically at the interface between natural and social science,

has been presented by Kristo Ivanov in terms of hypersystems. This concept intends to offer a theoretical basis for understanding and implementing participation of "users", decisions makers, designers and affected actors, in the development or maintenance of self-learning systems.[29]

# See also[edit]

- · List of types of systems theory
- Cybernetics
- Dynamical systems
- Emergence
- Engaged theory
- Glossary of systems theory
- Holism
- Integral theory
- Meta-systems
- Multidimensional systems
- Open and closed systems in social science
- Social rule system theory
- · Sociology and complexity science

#### Systems science portal

- · Systematics study of multi-term systems
- Systemic Constellations
- Systemics
- Systemography
- Systems engineering
- Systems psychology
- Systems theory in anthropology
- Systems theory in archaeology
- · Systems theory in political science
- · Systems thinking
- User-in-the-loop
- · Viable systems approach

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- 10. **Jump up ^** (see Steiss 1967; Buckley, 1967)
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### Organizations[edit]

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- System Dynamics Society
- Institute of Global Dynamic Systems, Canberra, Australia